A misty, green forest landscape with a prominent tree in the foreground. The background is a dense, hazy forest of green trees. The foreground features a large, gnarled tree with a thick, light-colored trunk and dark, leafy branches. The overall scene is serene and natural.

Water & Energy Balance

Abby Frazier & Hla Htun

NREM 680

February 19, 2014

Outline

- Energy Balance Basics & Methods
- Water Balance Basics & Methods
- Paper – Hydraulic Redistribution
- More HR Literature

Global Radiation Budget

- **Net Radiation:** The difference between incoming and outgoing flows of radiation ($R_{\text{net}} = \text{IN} - \text{OUT}$)

IN:

Shortwave (SW↓ or K↓)

Longwave (LW↓ or L↓)

OUT:

Reflected SW (SW↑ or K↑)

Emitted LW (LW↑ or L↑)

$$R_{\text{net}} = (K\downarrow + L\downarrow) - (K\uparrow + L\uparrow)$$

$$R_{\text{net}} = K\downarrow - K\uparrow + L\downarrow - L\uparrow$$

Final Net Radiation Equation:

$$R_{\text{net}} = (1-\alpha)K\downarrow + \sigma (\epsilon_{\text{sky}}T_{\text{sky}}^4 - \epsilon_{\text{surf}}T_{\text{surf}}^4)$$

Surface Energy Budget

$$R_{\text{net}} = H + LE + G + P + \Delta S$$

- G is 0 over 24 hours, so can be ignored
- Biomass storage and Photosynthesis are very small and usually can be ignored

$$R_{\text{net}} = H + LE$$

- Surface characteristics control the partitioning of net radiation into LE and H based on surface MOISTURE

– **BOWEN RATIO (β) = H / LE**

Energy Balance Methods

- Net Radiation
- Ground (soil) heat flux
- Biomass heat storage
- Sensible Heat

Energy Balance in Hawai'i Example:
Giambelluca et al. 2009



Eddy Covariance System



Net Radiometer

Sources:

<http://thermophysical.tainstruments.com>

<http://www.automationdirect.com>

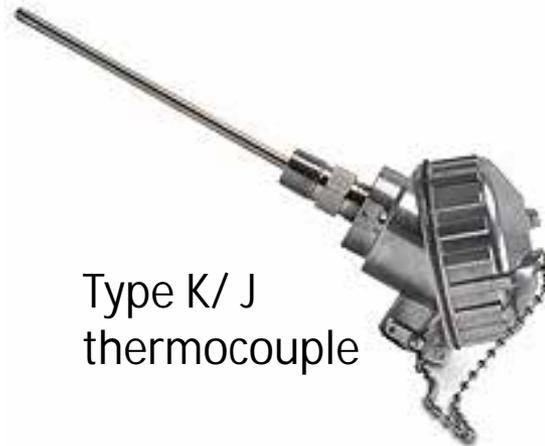
<http://www.kippzonen.com>



Heat Flux Sensor



Soil Moisture
Probe



Type K/ J
thermocouple



Scintillometer

Water Balance

$$\text{INPUT} = \text{OUTPUT} + \Delta \text{Storage}$$

Water balance equation

$$\text{RF} + \text{CWI} + \text{IRR} = \text{RO} + \text{ET} + \text{GWR} + \Delta \text{S}$$

Where:

RF = Rainfall

CWI = Cloud Water

Interception

IRR = Irrigation

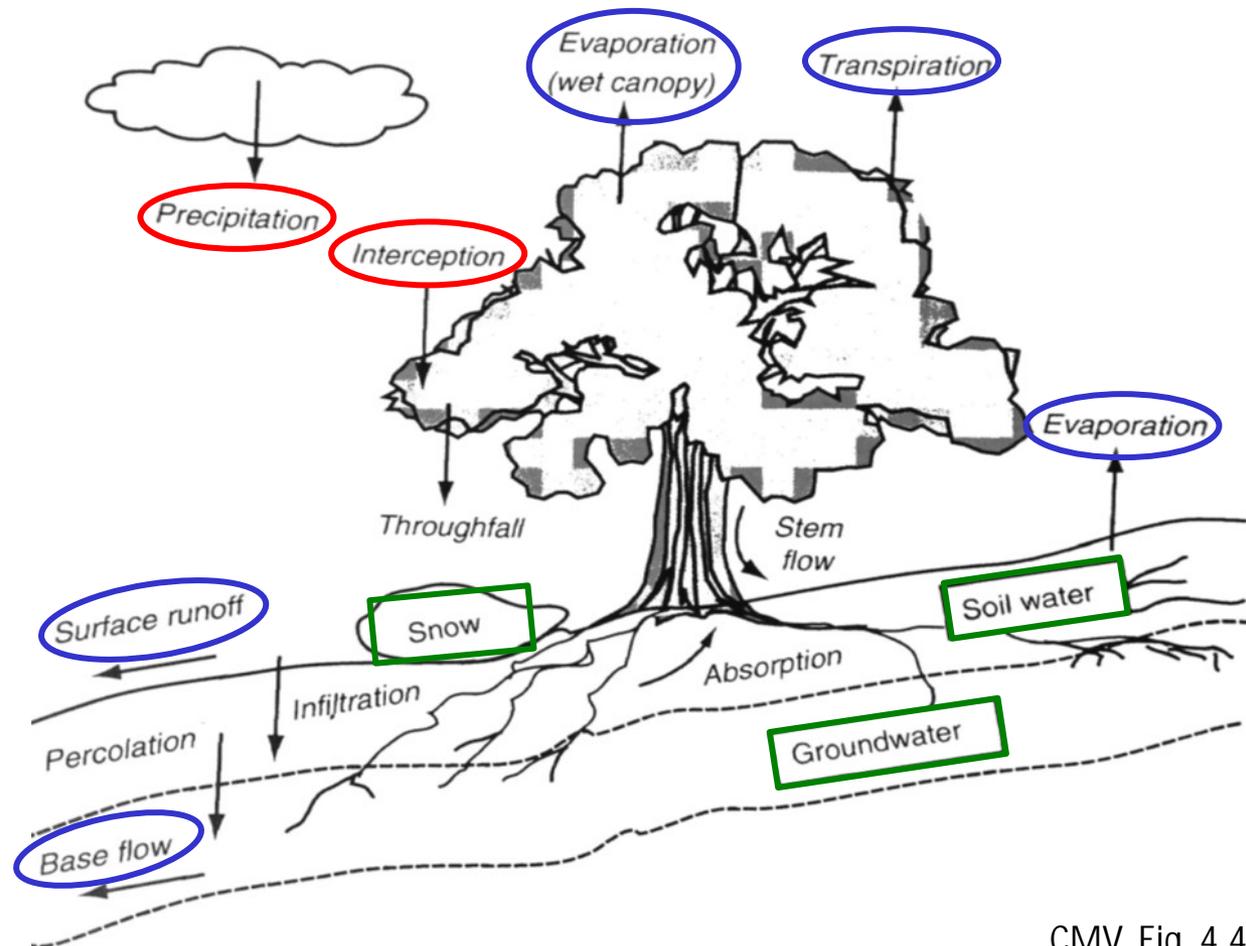
RO = Runoff

ET = Evapotranspiration

GWR = Ground Water

Recharge

ΔS = Change in Storage



Water Balance Methods - Inputs

Cloud Water Interception – fog screen



Photo: Frumau et al. 2011

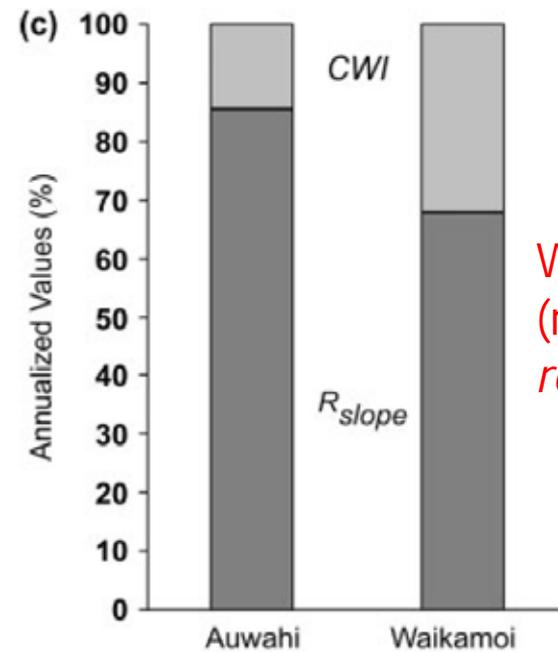
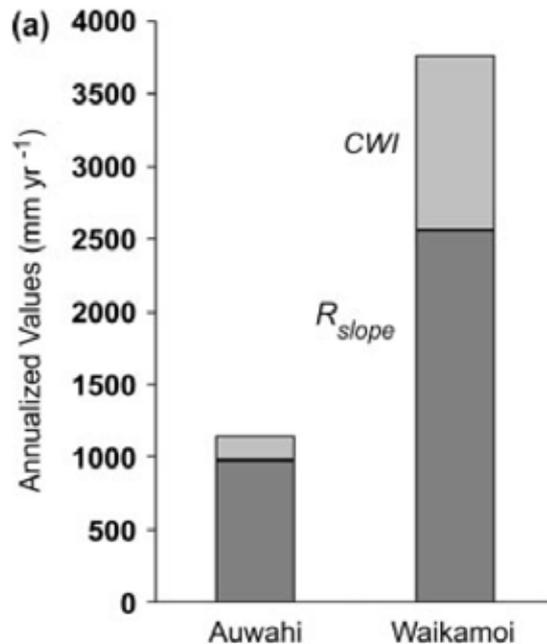
Rainfall –
Rain Gauge



Cloud Water Interception Study

Giambelluca et al. 2011

- Used two methods to calculate CWI (planar fog screens and canopy water balance) at 2 sites on Maui
- Found that planar fog screens are not very accurate (poorly account for wind-driven rainfall, varying wind direction, etc.)
- Results: at the wet windward site: CWI made up 32% of total precip, and at the dry leeward site, CWI was 15% of total precip



Water Balance Methods - Outputs

Evapotranspiration - Eddy Covariance System

Observations at **three research towers in Vancouver** provide information on the energy and water exchange between the urban surface and the atmosphere. The towers are equipped with sophisticated instruments that can track the energy received from the sun and atmosphere, the heat exchange, and the evaporation of water vapour of the city.



A **radiometer** measures sunlight and thermal radiation exchange between the urban surface and the atmosphere.

An **ultrasonic anemometer** measures smallest motions of wind by means of ultrasound waves. Sound waves travel slightly faster if they are carried with the wind as opposed to travel against wind.

A combination of an **ultrasonic anemometer** and an **infrared gas analyzer** can be used to directly calculate how much water vapour and energy is exchanged between the urban surface and the atmosphere. For example, after people have turned on their lawn sprinklers, we statistically measure that upward moving air is slightly more humid than parcels that come from higher levels of the atmosphere and that are drier.

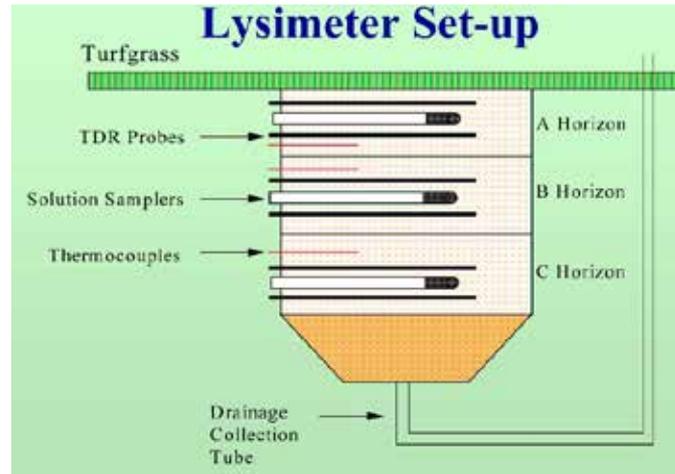
An **infrared gas analyzer** measures concentrations of water vapour in the air. The instrument operates by shining an infrared light source across a short path. This high-performance instrument measures 150 times a second.

Analyzer electronics control the the measurements at tower top

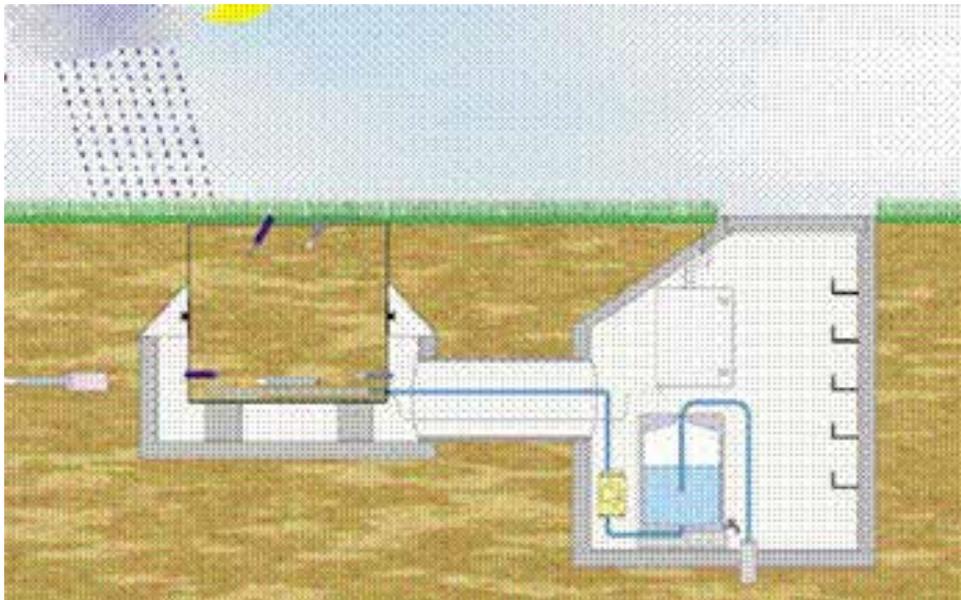
Data from this **thermometer / humidity sensor** will be used to validate the weather model's prediction.

Water Balance Methods - Outputs

Evapotranspiration – Weighing Lysimeter

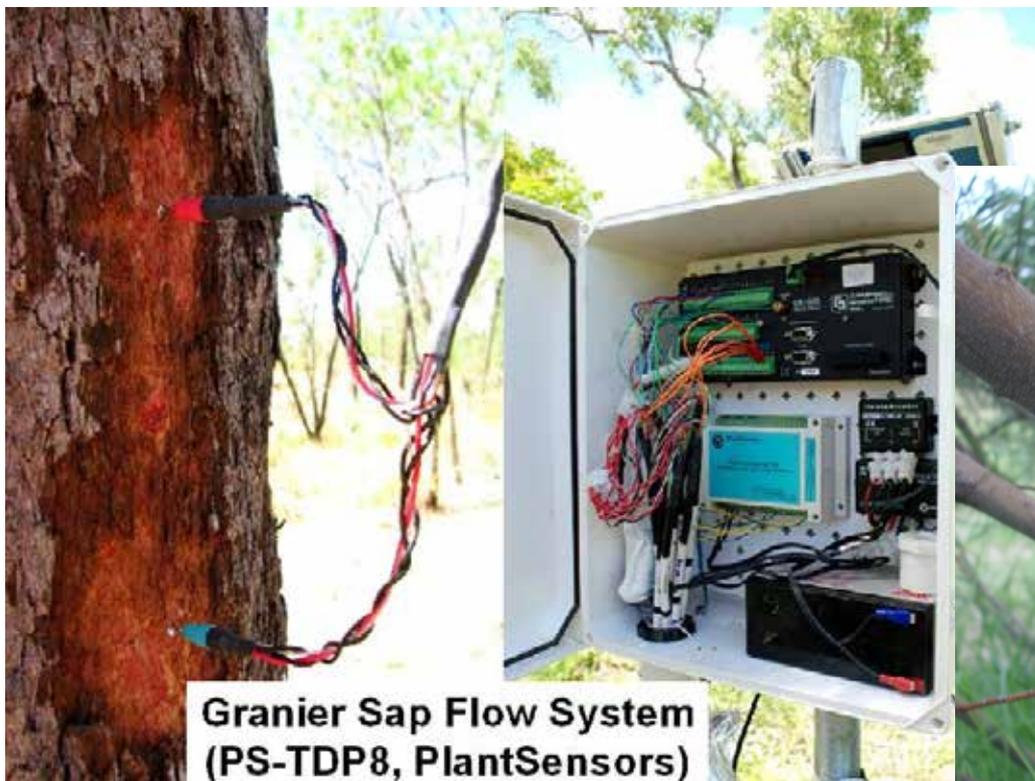


Evaporation – Evaporation Pan



Water Balance Methods - Outputs

Transpiration – Sap Flow



**Granier Sap Flow System
(PS-TDP8, PlantSensors)**

Thermal Dissipation Method

<http://www.plantsensors.com/>

Granier 1985, 1987

Hawai'i Example: Kagawa et al. 2009



Heat Ratio Method

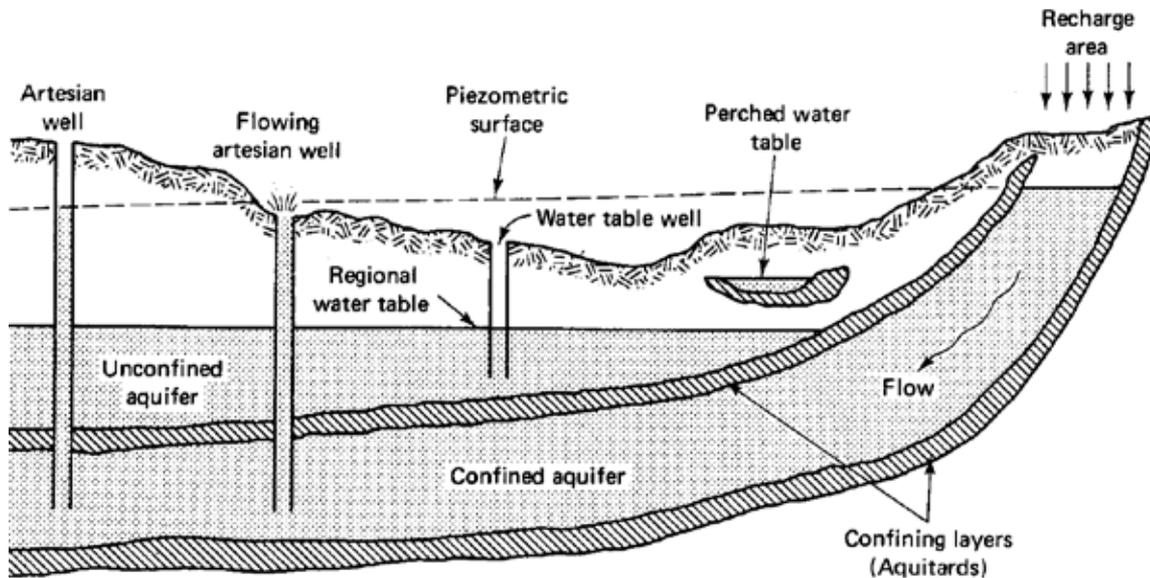
<http://www.ictinternational.com/sfm1.html>

Burgess et al. 2001

Water Balance Methods - Outputs

Groundwater Flow Measurement

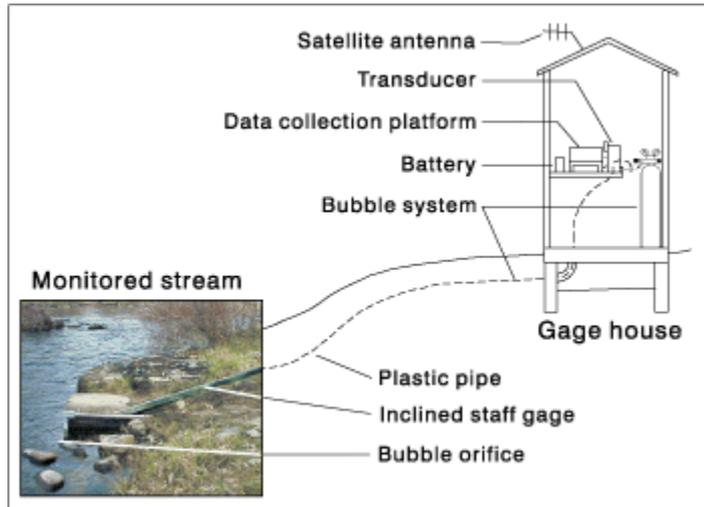
- Monitoring wells
- Piezometers (a tube or pipe)



Shallow water table
(unconfined aquifer)
monitoring well

Water Balance Methods - Outputs

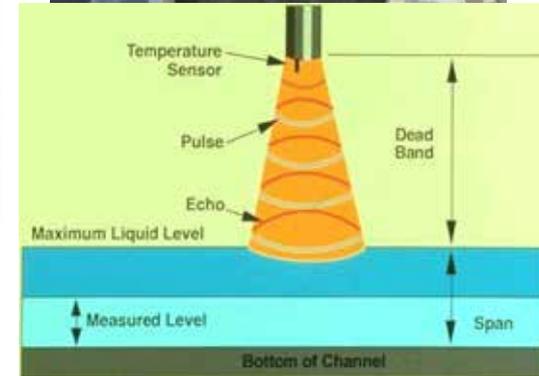
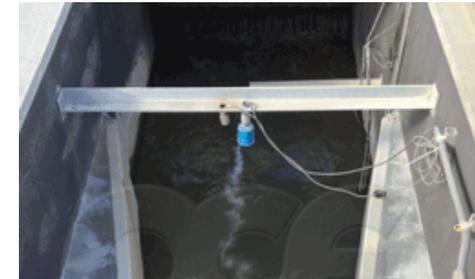
Surface Runoff Measurement Instruments



Gas Bubbler



Pressure transducers



Ultrasonic sensors



Flume

They all give Volumetric Flow rate

Sources: <http://usgs.gov>
<http://www.fao.org>
<http://www.bae.ncsu.edu>

Water Balance Methods - Storage

Soil Moisture

- **Gravimetric Technique**

- Soil core collection
- Drying oven and a balance

- Simple, low cost, used to **calibrate** other most other methods, usable under a range of soil depths and moisture conditions

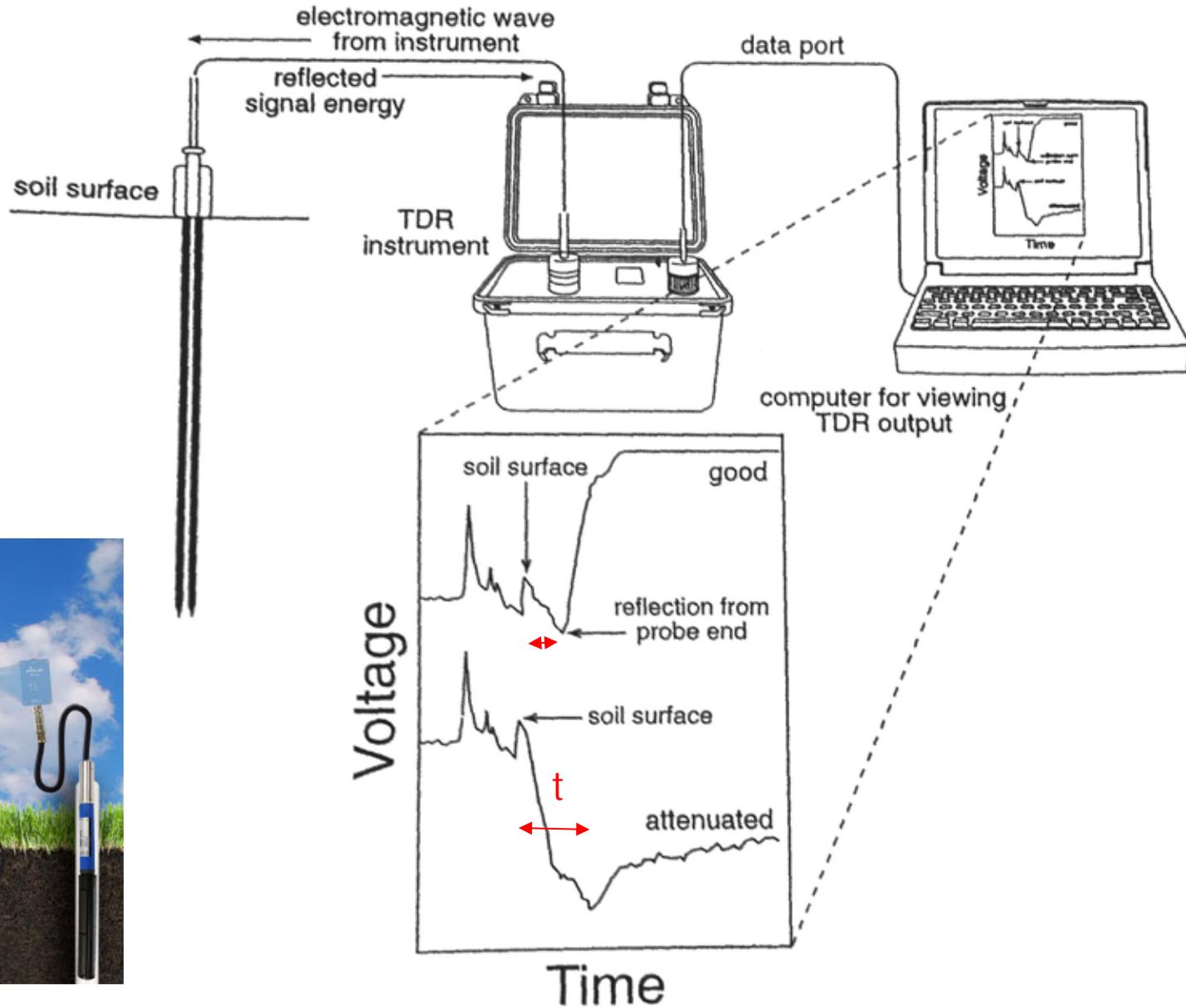
- Gives mass and volumetric soil moisture content

- **Thermocouple Psychrometry**

- Water potential based on the relative vapor pressure of water in the environmental system with that of free, pure water at an equivalent temperature and pressure



Time Domain Reflectometry

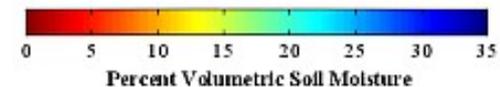
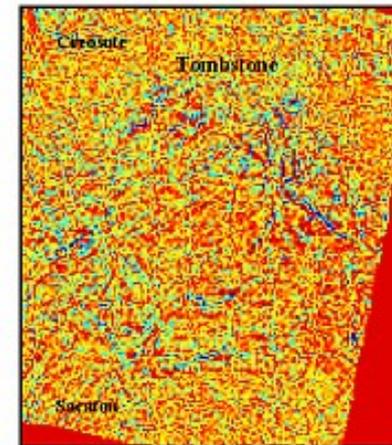
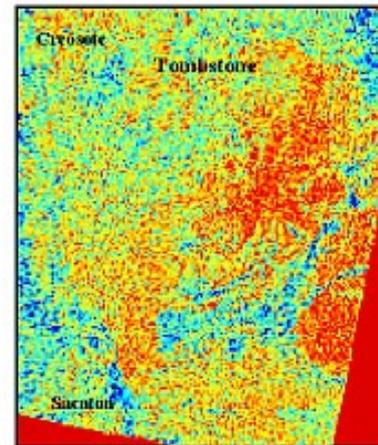
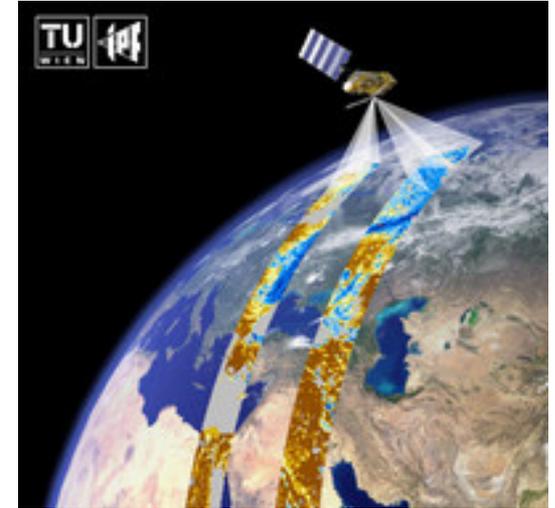


Water Balance Methods - Storage

Soil Moisture

Remote Sensing

- Thermal infrared techniques
- Microwave (Top 2-5 cm, shallower than 10 cm)
- Optical (visible/near infrared) – (solar radiation as a direct energy source)
- Indirectly to root-zone soil moisture



Sources: Adapted from www.usta.edu
<https://www.ipf.tuwien.ac.at>

Water Balance Methods - Processes

Throughfall & Stemflow



Throughfall



Stemflow

Sources: www.usgs.com

<http://www.inbo.be>

Example in Hawai'i: Takahashi et al. 2011

Water Balance Methods - Processes

Stable Isotopes

- Stable isotope ratio analyses at natural abundance tell us spatial and temporal variations in water-use activities in:
 - Hydrology (evaporation and condensation)
 - Ecology (transpiration, leaf-water enrichment, CO₂-to-H₂O ratio)
- ²H and ¹⁸O isotopes

Mass Spectrometer



Source: West et al. 2006

Some Isotopes Studies in Hawai'i:
Scholl et al. 2002, 2007

Stable Isotope Study in Hawai'i

Scholl et al. 2007

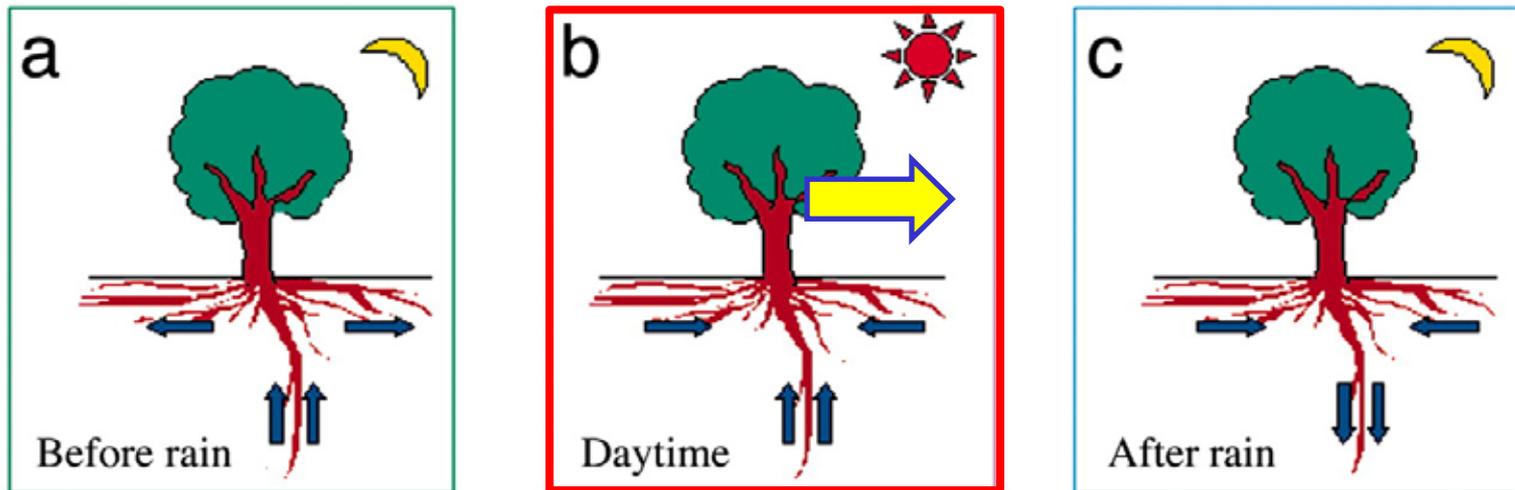
- Used stable isotope signatures to identify orographic cloud water at 2 sites on Maui
 - Cloud Water is enriched in ^{18}O and ^2H compared to rain water
- Collected rainwater and cloud water data - stable isotope samples were analyzed for $\delta^{18}\text{O}$ and $\delta^2\text{H}$
- Used a two end-member mixing model to estimate the proportion of orographic cloud water (as opposed to other types of precipitation)

$$f_{CWnet} = \frac{\delta_{CW} - \delta_{RWend}}{\delta_{CWend} - \delta_{RWend}}$$

- Orographically driven cloud water estimated to be 37% of total precip at windward site (46% of total at leeward site)

Hydraulic Redistribution

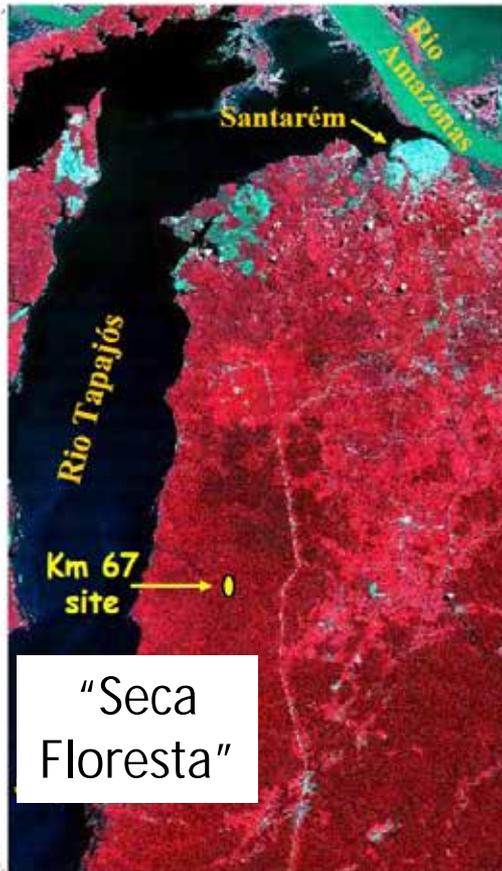
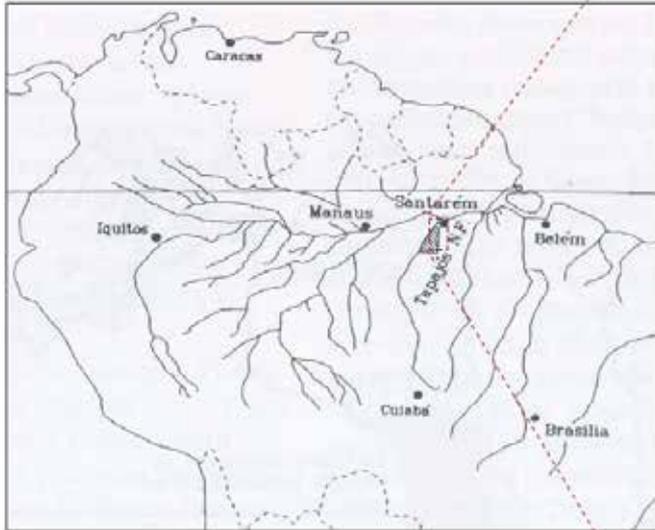
- Water moves through roots along water potential gradients (wet to dry)
- Roots have higher hydraulic conductivity than soil (preferred pathway)



Transpiration

Hydraulic redistribution in three Amazonian trees

Tapajos National Forest,
Para, Brazil



Rafael Silva Oliveira, Professor, Department of Botany, State University of Campinas



Todd Dawson, Professor, Department of Integrative Biology and Environmental Science, Policy & Management, University of California at Berkeley

Saleska, S. R., Miller, S. D., Matross, D. M., Goulden, M. L., Wofsy, S. C., da Rocha, H. R., ... & Silva, H. (2003). Carbon in Amazon forests: unexpected seasonal fluxes and disturbance-induced losses. *Science*, 302(5650), 1554-1557.

Motivation of the Study

- Hydraulic Redistribution (HR) usually associated with arid or seasonal environments
- HR had not been documented for wet tropical ecosystems
- Evidence for HR in this forest during dry season

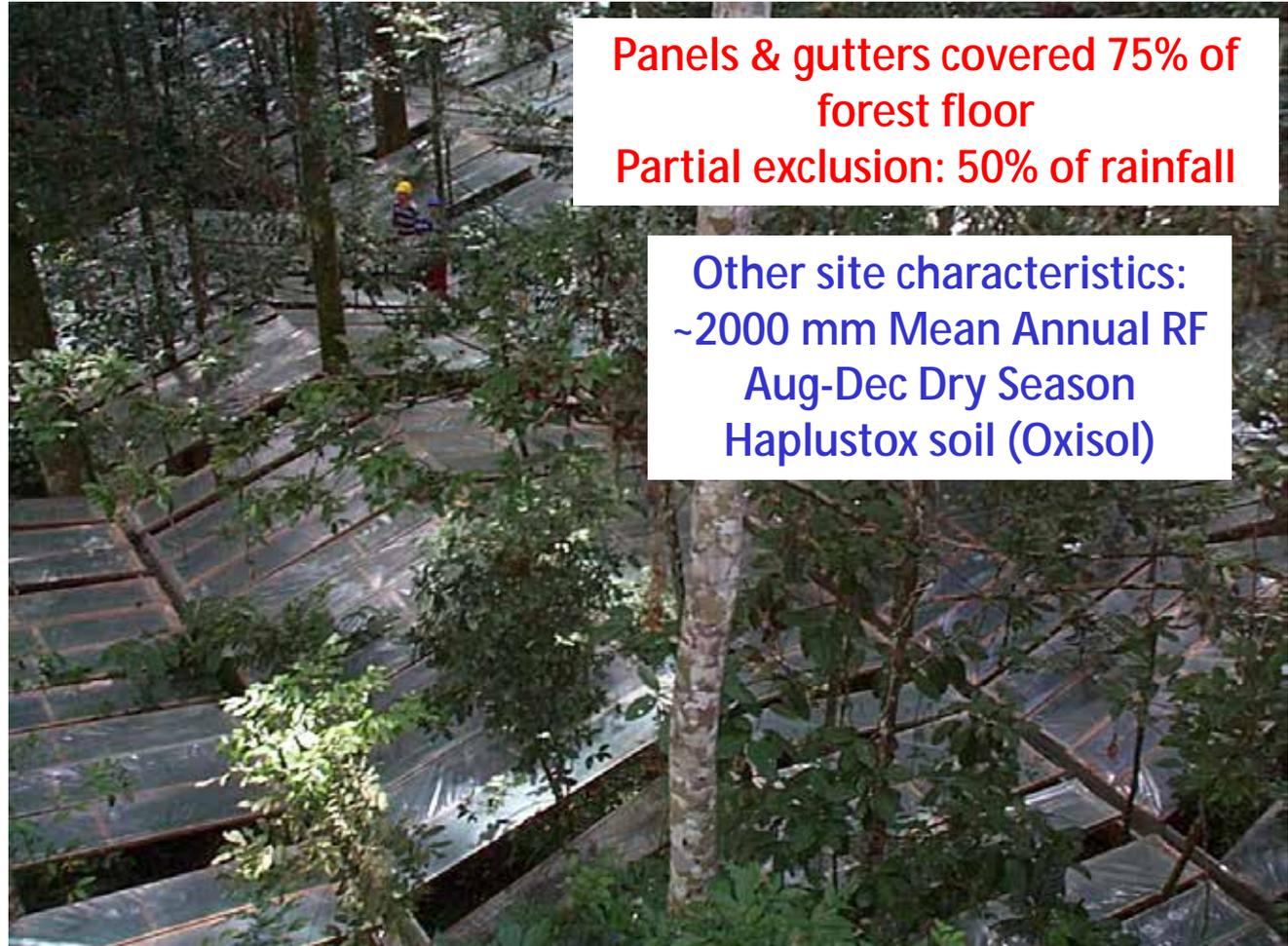
Objective: determine direction & magnitude of soil water redistribution by roots of 3 common Amazonian tree species

Study Site

- Tapajos National Forest – Rainfall Exclusion Plot

Two 1-ha plots
(treatment &
control)

Throughfall was
partially excluded
during rainy
seasons using
plastic panels and
wooden gutters
installed in the
understory



Panels & gutters covered 75% of
forest floor
Partial exclusion: 50% of rainfall

Other site characteristics:
~2000 mm Mean Annual RF
Aug-Dec Dry Season
Haplustox soil (Oxisol)

Study Site

- Tapajos National Forest – Rainfall Exclusion Plot

Deep soil processes were studied using shafts excavated to 12 m depth

Plots were trenched on perimeters to isolate plots from surrounding forests (confirmed with isotopes)



Study Design

- 3 Tree Species (Di-morphic rooted)
 - All had dimorphic root system: 2-12 lateral roots extending horizontally, and a single descending tap root
- Chosen to represent 3 functional types
 - *C. racemosa* (*understory*) – not very deep rooted. Most common tree species in forest, 15 m height
 - *P. robustum* (*mid-canopy*) – 20-25 m height
 - *M. huberi* (*canopy*) – roots can extend deep. Dominant, timber tree, can reach 45 m (individuals chosen were 20 m to control for size difference)



Methods

- Measured **Rainfall**, **Soil Water Data**, & **Sap Flow in Roots**

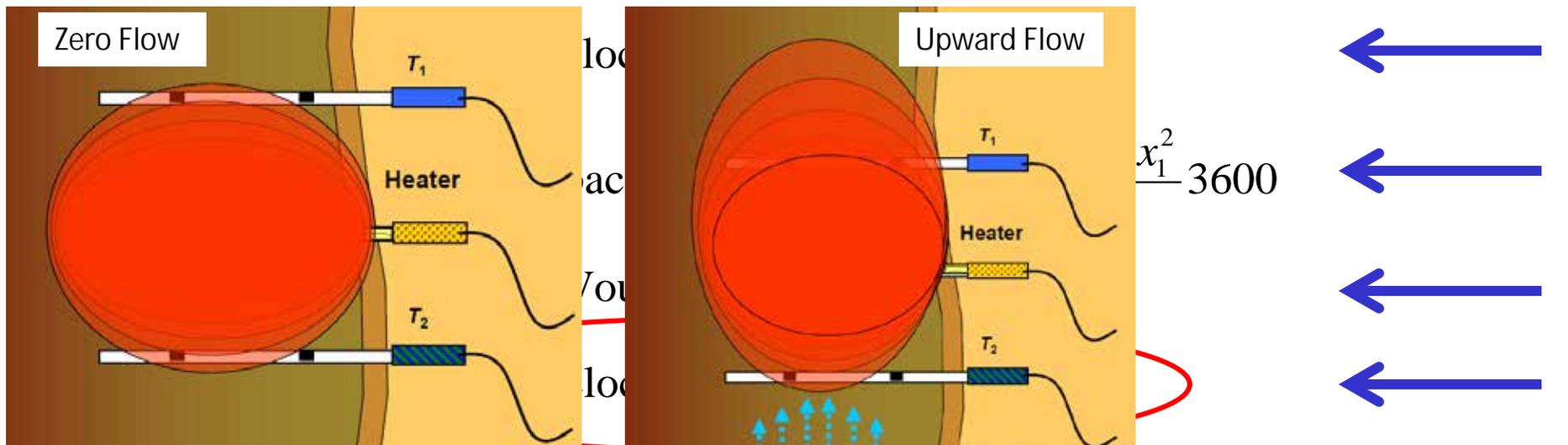
- **Rainfall** was measured above the canopy using an automated tipping-bucket rain gauge



- **Soil Water Data** (Volumetric Water Content) was measured using **Time Domain Reflectometry (TDR)** probes to a depth of 11 m

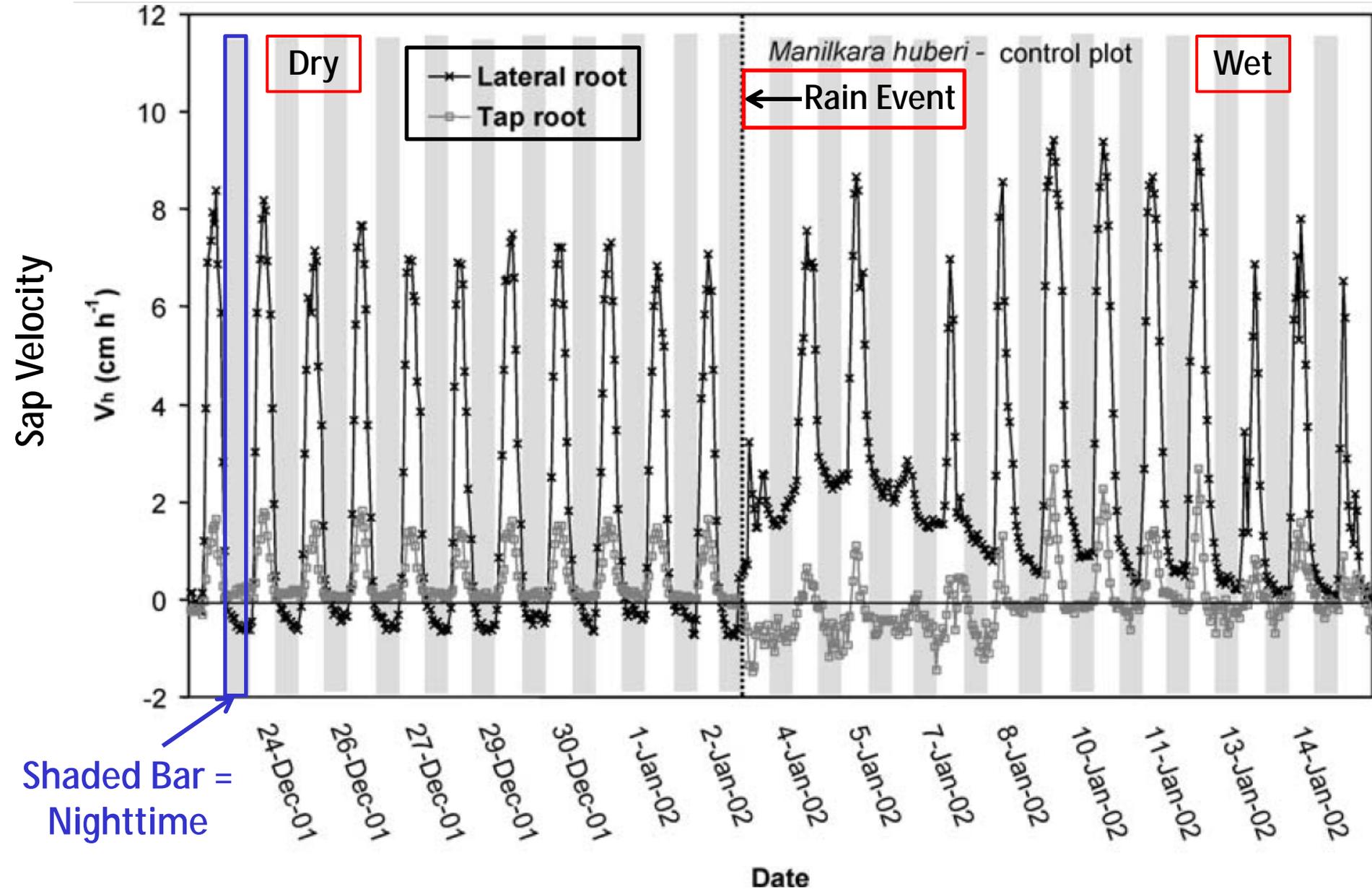
Methods

- **Sap Flow in Roots** measured using the **Heat Ratio Method (HRM)**, which measures the increase in temperature following a heat pulse at 2 symmetrical points (0.6 cm) above & below a heater
 - Allows **bi-directional** measurements of sap flow



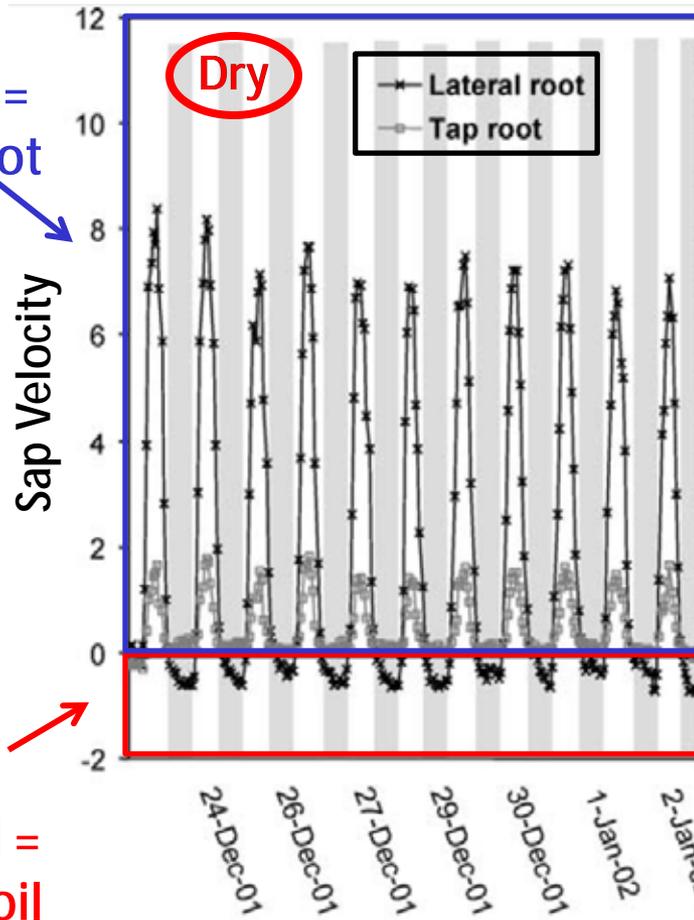
Volumetric flow = $(V_s) * \text{Cross-sectional Area of sapwood}$

Results – Root Sap Flow (Control Plot)



Results – Root Sap Flow (Control Plot)

Positive =
Basipetal =
Soil to Root



Reverse =
Acropetal =
Root to Soil

Dry Season Nighttime:

- *Reverse (Root To Soil) sap flow in LATERAL roots
- *Positive (Soil To Root) in TAP roots
- à Plants conducting **hydraulic lift (HL)**



DRY

Root to soil

WET

Soil to root

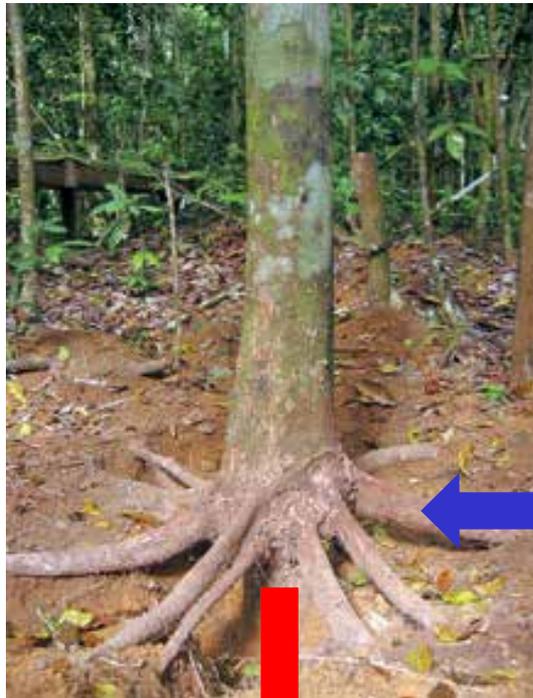
Results – Root Sap Flow (Control Plot)

Wet Season Nighttime:

*Reverse (Root To Soil) sap flow in TAP roots

*Positive (Soil To Root) in LATERAL roots

à Plants conducting **hydraulic descent (HD)**



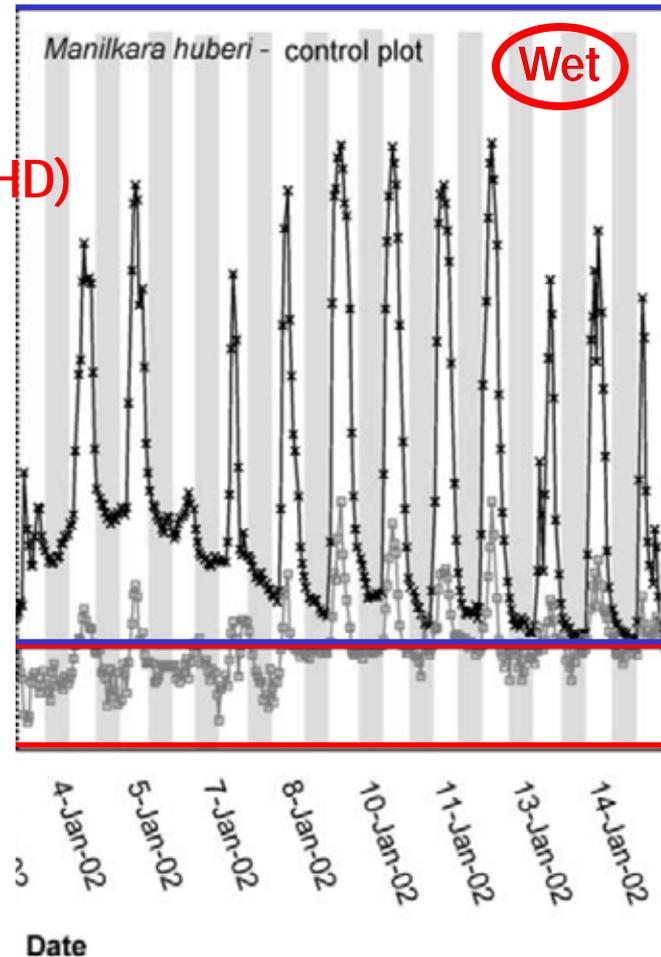
WET

Soil to root



Root to soil

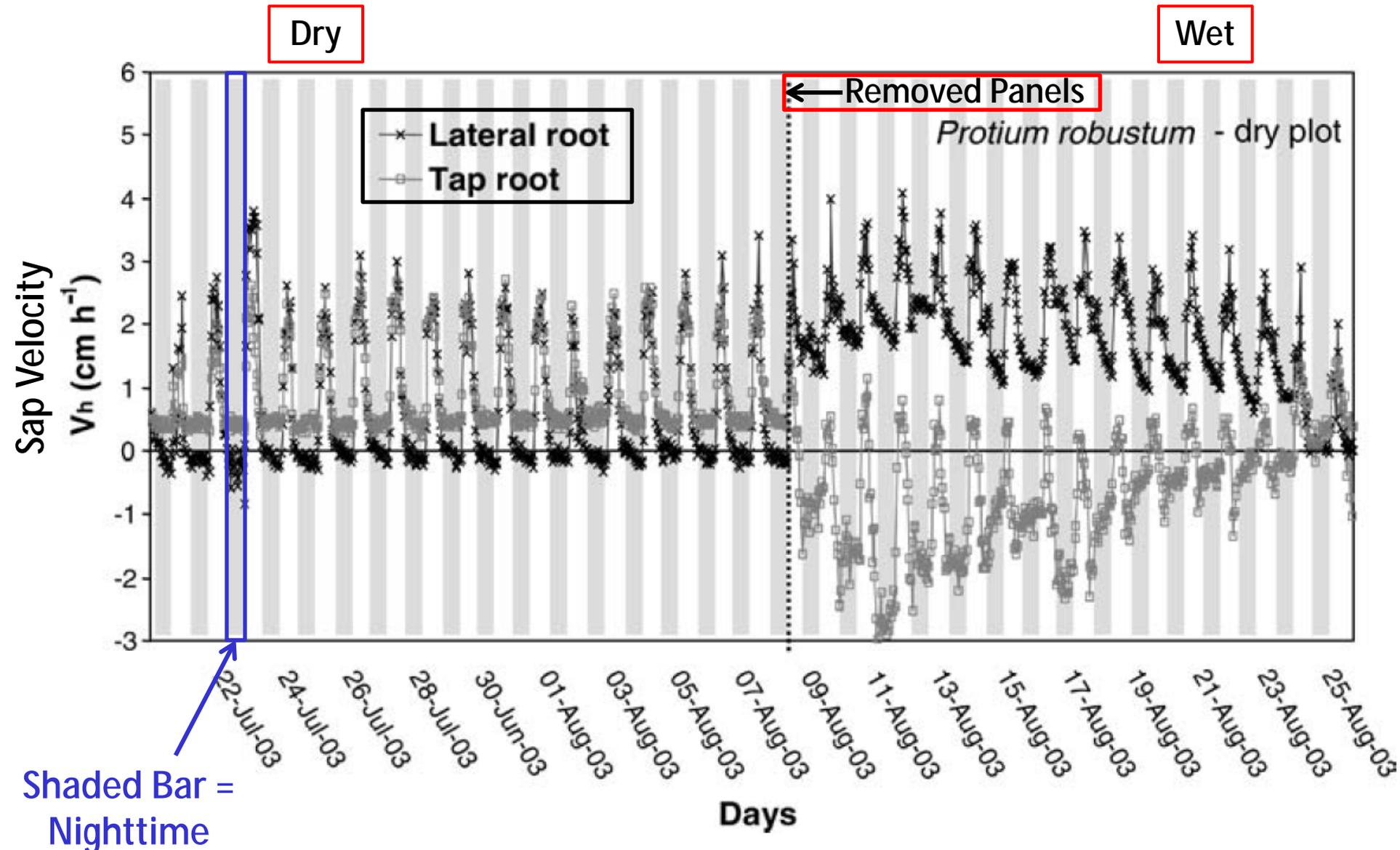
DRY



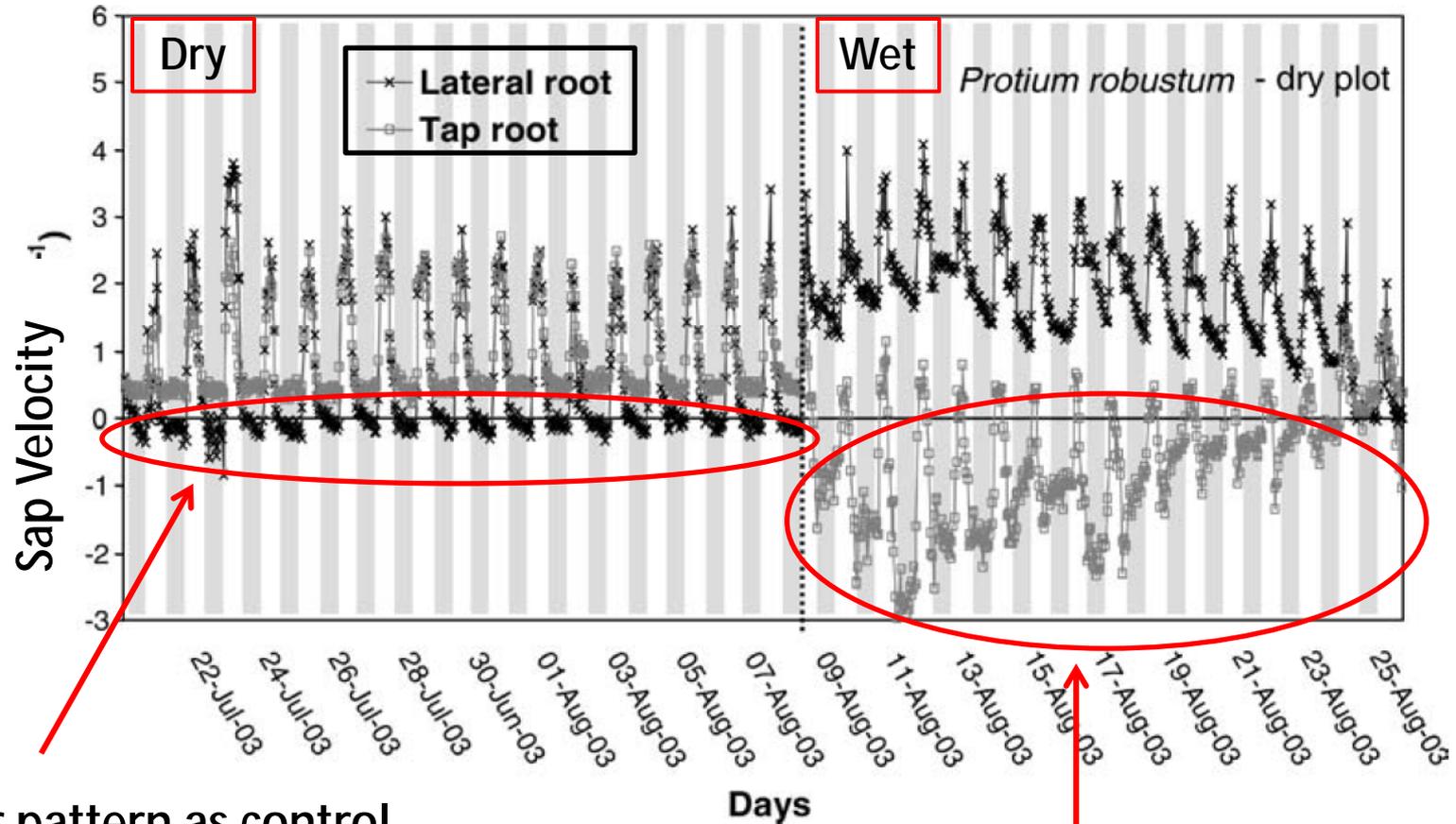
Positive =
Basipetal =
Soil to Root

Reverse =
Acropetal =
Root to Soil

Results – Root Sap Flow (Treatment Plot)



Results – Root Sap Flow (Treatment Plot)



Similar pattern as control plot (evidence of HL)

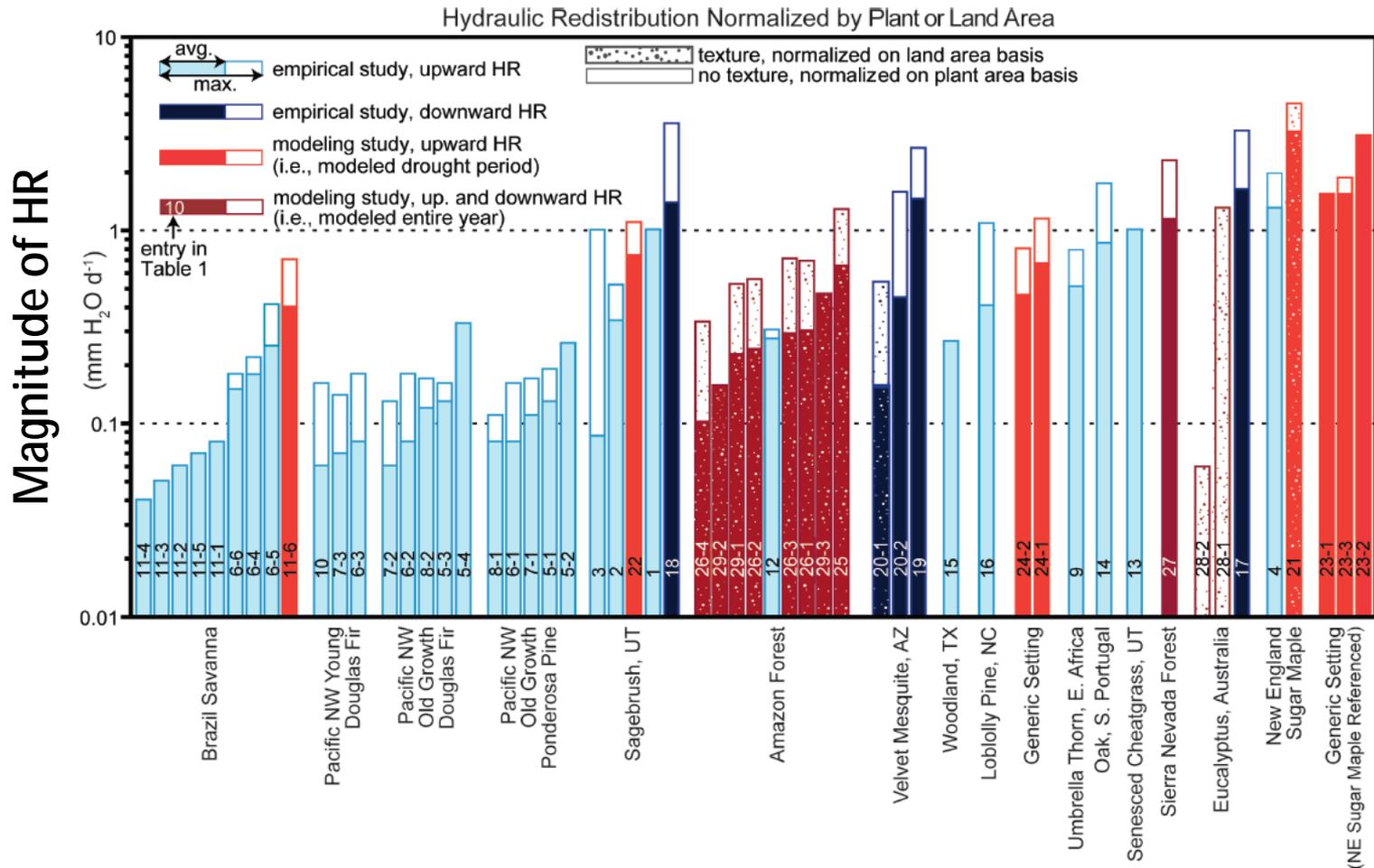
Similar pattern as control plot (evidence of HD). However, magnitude was greater, and lasted longer as a result of the very STEEP water potential gradient

Discussion & Conclusions

- HR exists in tropical rainforest trees
 - Evidenced by:
 - 1) Simultaneous peaks in recharge in deep and shallow layers (not possible by infiltration)
 - 2) Sap flow measurements in tree roots
- HR can influence the amount of dry season evapotranspiration
- Important to understand for modeling

Other HR Studies

- Many modeling & empirical studies across various ecosystems



Recent HR Studies

Kizito et al. 2012

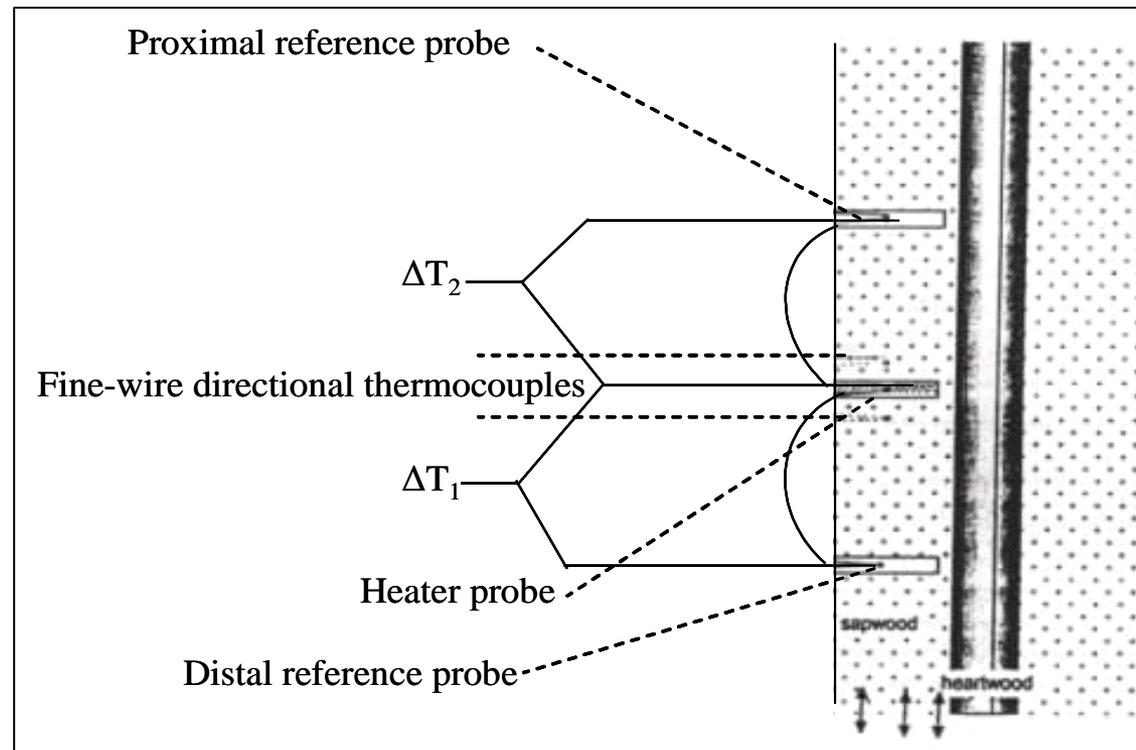
- HR in semi-arid Sahelian shrub species
- Measured sap flow using a modified thermal dissipation (Granier) technique (Brooks et al. 2002, 2006)

Bi-directional Thermal Dissipation Probe

DT_1 : from raw data, difference between heater probe and distal reference probe

DT_2 : from raw data, difference between heater probe and proximal reference probe

DT_3 : from raw data, difference between directional indicating thermocouple



Recent HR Studies

Querejeta et al. 2012

- **Study**: Impact extent of mycorrhizosphere disturbance on Hydraulic Lift (HL)
- **Hypothesis**: Higher HL from donor well-hydrated oaks to drought-stressed seedlings in control non-fungicide-treated mesocosms
- **Methods**: Gravimetric and stable isotope (^2H) soil moisture contents accompanied by statistical analyses
- **Results**: Contrary outcomes were observed as HL is higher in treated (fungicide-applied) mesocosms
- **Possible Reasons**: Reduced soil hyphal network and viability hampered soil moisture retention and thus faster water depletion in the upper soil (steeper water potential gradient) \rightarrow HL



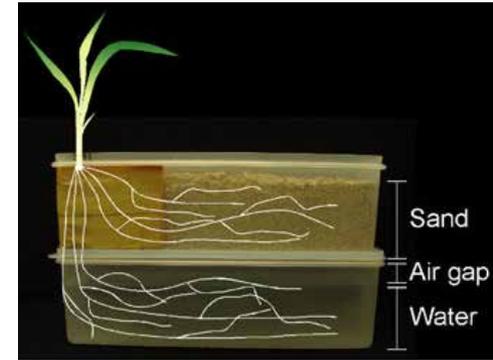
Recent HR Studies

Sekiya et al. 2011

Study: Hydraulic Lift (HL) in agroecosystem: donor shoot-removed forage plants to neighboring vegetable crops for their productivity

Methods:

Split-root experiment with soil moisture sensor, diffusion porometer and thermographs both in lab and field conditions. They had a control and a treatment plot, where they cut all deep roots.



Results & Conclusion:

HL is present in deep rooted plants. Productivity is increased in crops when these deep rooted “donor plants” are present. This is very important in water scarce environments.



HR Today

- Main Research Questions:
 - Identifying the importance of HR contribution to total transpiration
 - Hydrological significance of HR
 - How do species interact with each other?
 - How do other factors affect HR? (Stand density, stand age, edge & patch dynamics, etc.)
- Root characteristics exert a strong influence over the magnitude of HR
- Models still difficult to validate...

Thank You!

